

Harvatex Engineering and Processing Co. Ltd.

Admin. Office: 9/14, (First Floor) East Patel Nagar, New Delhi – 110008

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CIN: L28939WB1982PLC035023

The President
Kolkata Stock Exchange Ltd
7- Lyons Range
Kolkata – 700001

Date: January 06, 2018

Ref	CSE Scrip Code: 18099 & 10018099
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Sub: Corporate Governance Report under Regulation 27(2) of SEBI (Listing obligations & Disclosure Requirements) Regulations 2015 for Quarter ended on December 31, 2017.


Dear Sir/Madam,

Pursuant to the requirement of Regulation 27(2) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015, read with other applicable provisions if any, please find enclosed herewith Compliance Report on Corporate Governance for the Quarter ended on December 31, 2017 (**Annexure-I**).

Please acknowledge the receipt.

Yours faithfully

For Harvatex Engineering & Processing Co. Ltd.


Rajat Kumar
(Director)

For Harvatex Engineering & Processing Co. Ltd.

Director/Authorised Signatory

SP PATEL NAGAR EASY (110008)
GSTIN No: 07AAAG000379127
ECS43911016174
Counter No:1,OP-Code:OP
To:THE PRESIDENT,
KOLKATA, PIN:700001
From:HARVATEX ENGG, DELHI
Wt:38grams, Dt:06/01/2018, 14:02
Amt:41.00
,COST @% 3 ,UTGST @%: 3.00
<<Track on www.indiapost.gov.in>>

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India Post

Encl:1. Compliance Report on Corporate Governance for Quarter ended on December 31, 2017.

Share Holding Pattern... as per Regulation 31(1) of the SEBI (Listing Obligations and Disc) Requirements Regulations 2015

Harvates Engineering and Processing Co. Ltd.

18099/Equity

Regulation 31(1)(b)

December 31, 2017

N/A

4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1. Whether the Listed Entity has issued any partly paid up shares?	-	No
2. Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3. Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4. Whether the Listed Entity has any shares in locked-in?	-	No
5. Whether any shares held by promoters are pledge or otherwise encumbered?	-	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be depicted at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default in submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category (A)	Category of shareholder (B)	No. of share holders (C1)	No. of fully paid up equity shares held (D)	No. of partly paid up equity shares held (E)	No. of shares underlying Depository Receipts (F)	Shareholding as a % of total no. of shares (calculated as per NSE/BSE/OTC) As a % of (A+B+C)	Number of Voting Rights held in each class of securities (G)			Shareholding as a % accounting full conversion of convertible securities (as a percentage of diluted share capital) (G1) (G2) (G1+G2) As a % of (A+B+C)	Number of Locked in shares (H)		Number of equity shares held in dematerialized form (I)		
							No. of Voting Rights (G)				No. (H1)	As a % of total Shares held (H2)		No. (H3)	As a % of total Shares held (H4)
							Class X	Class Y	Total						
(A)	Promoter & Promoter Group	3	445200	0	0	61.87	0	0	0	0.00	0	0.00	0		
(B)	Public	615	274800	0	0	38.17	0	0	0	0.00	0	0.00	0		
(C)	Non Promoter-Non Public	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0		
(D)	Shares underlying DRs	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0		
(E)	Shares held by Employee Trusts	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0		
	Total	620	720000	0	0	100	0	0	0	0.00	0	0.00	0		

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Status of the Shareholders (A)	PAN (B)	No. of share holder (C)	No. of fully paid up equity shares held (D)	No. of shares underlying Depository Receipts (E)	Total no. of shares held (D+E) (F)	Shareholding % calculated as per NSE/BSE/OTC As a % of (A+B+C) (F1)	Number of Voting Rights held in each class of securities (G)			Shareholding as a % accounting full conversion of convertible securities (as a percentage of diluted share capital) (G1) (G2) (G1+G2) As a % of (A+B+C)	Number of Locked in shares (H)		Number of equity shares held in dematerialized form (I)		
							No. of Voting Rights (G)				No. (H1)	As a % of total Shares held (H2)		No. (H3)	As a % of total shares held (H4)
							Class X	Class Y	Total						
(A)	Individual	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0		
(B)	Individual/Trust/Individual Family	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0		
(C)	Central Government/State Government(s)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0		
(D)	Financial Institution/Banks	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0		
(E)	Any Other (Sovereign/Supra National/Foreign Corporate)	5	445200	0	445200	61.83	0	0	0	0.00	0	0.00	0		
(F)	Mutual Financial Institution	1	70450	0	70450	9.71	0	0	0	0.00	0	0.00	0		

Category & Name of the Shareholder (A)	No. of shares held (B)	No. of fully paid up equity shares held (C)	No. of shares underlying Depository Receipts (D)	Total nos. shares held (E) = (B+C+D)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C+D) VIII	Number of Voting Rights in each class of securities			Total Voting Rights	No. of Shares Underlying Outstanding Securities (including Warrants) (G)	Total Shareholding as a % assuming full conversion of convertible securities on a percentage of diluted share capital (H)	Number of Locked in shares (I)	Number of Shares pledged or otherwise encumbered (J)	Number of equity shares held in demat form (K)
						No. of Voting Rights			Total of Total Voting Rights					
						Class X	Class Y	Total						
Indiabulls Commercial Pvt Ltd	100950	0	0	100950	14.02	0	0	0	0	0	0.00	0	0	0
Sovereign Commercial Pvt Ltd	92250	0	0	92250	12.23	0	0	0	0	0	0.00	0	0	0
Greentech Commercial Pvt Ltd	48400	0	0	48400	6.72	0	0	0	0	0	0.00	0	0	0
Prakash Commercial Pvt Ltd	121150	0	0	121150	16.83	0	0	0	0	0	0.00	0	0	0
Sub-Total (A+B)	445200	0	0	445200	61.83	0	0	0	0	0	0.00	0	0	0
Group														
Individuals (Non-Voting Shareholders)	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0
Individuals (Voting Shareholders)	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0
Group/Portfolio/Beneficiary	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0
Air-Other (Specif)	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0
Sub-Total (A+B)	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0
Total Shareholding of Promoter and Promoter Group (A+B+C+D)	445200	0	0	445200	61.83	0	0	0	0	0	0.00	0	0	0

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Notes:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholder (A)	No. of shares held (B)	No. of fully paid up equity shares held (C)	No. of shares underlying Depository Receipts (D)	Total nos. shares held (E) = (B+C+D)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C+D) VIII	Number of Voting Rights in each class of securities			Total Voting Rights	No. of Shares Underlying Outstanding Securities (including Warrants) (G)	Total Shareholding as a % assuming full conversion of convertible securities on a percentage of diluted share capital (H)	Number of Locked in shares (I)	Number of Shares pledged or otherwise encumbered (J)	Number of equity shares held in demat form (K)
						Class X	Class Y	Total						
Bank/Finance:														
Mutual Funds	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0
Stocks Capital Funds	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0
Alternative Investment Funds	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0
Foreign Venture Capital Investors	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0
Foreign Portfolio Investors	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0
Insurance Companies	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0
Insurance Companies	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0
Provident Funds/Pension Funds	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0
Air-Other (Specif)	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0
Sub-Total (A+B)	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0
Central Government/ State Government/ Pension Funds	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0
Sub-Total (A+B)	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0

Sl. No.	Shareholder Name	609	157840	0	0	157840	21.92	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
40	Individual & Institutional shareholders holding normal shares opted up to Rs. 2 lakhs	3	69960	0	0	69960	9.71	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Individual shareholders holding unlisted shares opted in excess of Rs. 2 lakhs																	
	St. Marys For Sisters		25000	0	0	25000	3.47	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	St. Protopius Mission Ltd		24950	0	0	24950	3.46	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	St. Ait Kumar Dev		20010	0	0	20010	2.78	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
41	NREs registered with RBI	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
42	Employee Trusts	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
43	Foreign Depositories (holding DRs) (Following figure)	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
44	Any (Share) with/hold Company	3	47000	0	0	47000	6.53	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Sub total (B+C)	613	274890	0	0	274890	38.16	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Total Public Shareholding (A+B+D+E+F+G+H+I)	613	274890	0	0	274890	38.16	0	0	0.00	0	0.00	0	0.00	0	0.00	0	

Details of the shareholders voting in person in General Meeting including their Shareholding (No. and %):
 Details of Shares which remain unlisted may be given here along with details such as number of shareholders, outstanding shares held in demat/physical/ suspense account, voting rights which are frozen etc.

Note:
 (1) FVOP would not be disclosed on website of Stock Exchange(s).
 (2) The above format needs to be disclosed along with the name of following persons but not in case of business holding more than 1% of total number of shares.
 (3) M.I.L. (M) information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category of Shareholders (I)	PAN/BIN	No. of shares held (II)	No. of fully paid-up equity shares held (IV)	No. of equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C) (VIII)	Number of Voting Rights held in each class of securities			Total shareholding i.e. as a % of outstanding fully convertible securities or convertible securities (as a percentage of diluted share capital) (X)	No. of Shares Underlying Outstanding convertible securities (including warrants) (X)	Number of Locked in shares		Number of Shares pledged or shares held in dematerialized form (XIV)		
								Class X	Class Y	Total			As a % of total shares held	As a % of total shares held (Not applicable)			
																No.	As a % of total shares held (Not applicable)
11) Custodian DR Holder																	
10) Name of DR Holder (if available)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0
12) Employee Benefit Trust (under SEBI (Share Based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Total Non-Promoter, Non Public Shareholding (C+D+E+F+G+H+I)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Note:
 (1) FVOP would not be disclosed on website of Stock Exchange(s).
 (2) The above format needs to be disclosed along with the name of all holders holding more than 1% of total number of shares.
 (3) W.L.T. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.